

# Erdős-Ko-Rado Theorems for Groups

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# Set systems

- A *k*-set system is a collection of subsets from  $\{1, 2, \dots, n\}$  in which each subset has size *k*.
- A *k*-set system is *intersecting* if for all sets *A*, *B* in the system

$$A \cap B \neq \emptyset.$$

An intersecting 3-set system from  $\{1, \dots, 6\}$ :

123   124   125   126   134  
135   136   234   235   236

In this system, every set has at least 2 elements from  $\{1, 2, 3\}$ .

Another intersecting 3-set system from  $\{1, \dots, 6\}$ :

123   124   125   126   134  
135   136   145   146   156

In this system, every set has the element 1.

# Canonical Intersecting Set Systems

The easiest intersecting  $k$ -set system is the collection of all  $k$ -subsets that contain a fixed element.

$$\frac{1}{\underbrace{** \dots *}_{k-1 \text{ entries}}}$$

This is called a *canonical* intersecting  $k$ -set system and has size

$$\binom{n-1}{k-1}.$$

Is the canonical intersecting system the largest intersecting system?

Are there intersecting set systems of size  $\binom{n-1}{k-1}$ , other than the canonical set system?

# The Answer

## Theorem (Erdős-Ko-Rado Theorem)

Let  $\mathcal{A}$  be an intersecting  $k$ -set system on an  $n$ -set. If  $n > 2k$ , then

- 1  $|\mathcal{A}| \leq \binom{n-1}{k-1}$ ,
- 2 and  $\mathcal{A}$  meets this bound if and only if it is canonically intersecting.

## Theorem (Erdős-Ko-Rado Theorem for $t$ -intersecting)

Let  $\mathcal{A}$  be a  $t$ -intersecting  $k$ -set system on an  $n$ -set. If  $n$  is large relative to  $t$  and  $k$ , then

- 1  $|\mathcal{A}| \leq \binom{n-t}{k-t}$ ,
- 2  $\mathcal{A}$  meets this bound if and only if it is canonically  $t$ -intersecting.

Canonical  $t$ -intersecting:  $\underline{1} \underline{2} \dots \underline{t} \underbrace{** \dots *}_{k-t \text{ entries}}$

# Kneser Graph

Define the Kneser graph  $K(n, k)$

- 1 vertices are  $k$ -subsets of  $\{1, \dots, n\}$ ;
- 2 two  $k$ -sets are adjacent if they are disjoint.

An independent set/coclique in  $K(n, k)$  is an intersecting set system.

What is the largest coclique in this graph?

What is the structure of a largest coclique in this graph?

# Good Ol' Pete

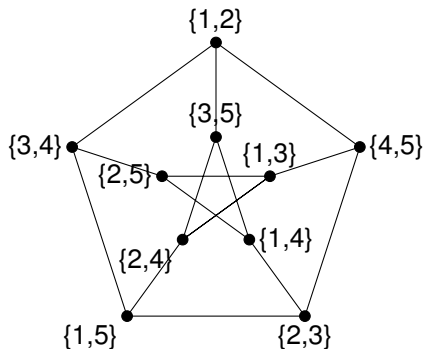


Figure: The Kneser Graph  $K(5, 2)$ , or our old friend Petersen.

# Properties of $K(n, k)$

- 1 There are  $\binom{n}{k}$  vertices, each vertex has degree  $\binom{n-k}{k}$ .
- 2 It is vertex transitive and edge transitive.
- 3 A coclique (independent set) is an intersecting set.

# Algebraic Graph theory

- 1 We can represent a graph  $X$  as a matrix  $A(X)$ .
- 2 Rows and columns are vertices (in the same order) and the
- 3  $u, v$  entry of  $A(X)$  is 1 if  $u$  and  $v$  are adjacent, and 0 otherwise.

Example for Kneser graph  $K(5, 2)$ :

$$\begin{array}{c} 12 \\ 13 \\ 14 \\ 15 \\ 23 \\ 24 \\ 25 \\ 34 \\ 35 \\ 45 \end{array} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$



## Hoffman's bound/Delsarte's bound/Ratio bound

The **characteristic vector** of a set of vertices  $S$  in a graph is a 01-vector of length  $|V(G)|$ ; the  $v$ -entry is 1 if  $v \in S$ , and 0 otherwise. Denoted by  $v_S$ .

### Ratio Bound

If  $X$  is a  $d$ -regular graph then

$$\alpha(X) \leq \frac{|V(X)|}{1 - \frac{d}{\tau}}$$

where  $\tau$  is the **least** eigenvalue for the adjacency matrix for  $X$ .

If equality holds and  $S$  is a coclique of maximum size, then

$$v_S - \frac{|S|}{|V(X)|} \mathbf{1}$$

is a  $\tau$ -eigenvector.

# Ratio Bound for Kneser Graph

**Bound on the size of a coclique in Kneser graph:**

$$\alpha(K(n, k)) \leq \frac{\binom{n}{k}}{1 - \frac{\binom{n-k}{k}}{-\binom{n-k-1}{k-1}}} = \binom{n-1}{k-1}.$$

**Characterization:**

- Let  $v_i$  be the characteristic vector of the collection of all sets that contain  $i$ . The vectors  $v_i - \frac{k}{n} \mathbf{1}$  are  $-\binom{n-k-1}{k-1}$ -eigenvectors.
- $v_i$  span the  $\binom{n-k}{k}$ -eigenspace and the  $-\binom{n-k-1}{k-1}$ -eigenspace.
- The characteristic vector for any maximum coclique is a linear combination of the  $v_i$ .
- If  $n > 2k$ , the only linear combinations that give 01-vector with weight  $\binom{n-1}{k-1}$  is  $v_i$ .

Can do this for  $t$ -intersection too, using a weighted adjacency matrix.

# Intersecting Permutations

Two permutations  $\sigma, \pi \in \text{Sym}(n)$  *intersect* if for some  $i \in \{1, \dots, n\}$ .

$$\sigma(i) = \pi(i) \quad \text{or} \quad \pi^{-1}\sigma(i) = i.$$

- 1 A permutation is a *derangement* if it fixes no points.
- 2 Permutations  $\sigma$  and  $\pi$  are intersecting if and only if  $\pi^{-1}\sigma$  is **not** a derangement.

# Intersecting Permutations

What is the size of the largest set of intersecting permutations?

What is the structure of the largest set of intersecting permutations?

The *canonical intersecting sets* are

$$S_{i,j} = \{\sigma \in \text{Sym}(n) \mid i^\sigma = j\}.$$

- 1 If  $i = j$ , then  $S_{i,i}$  is the stabilizer of  $i$  (this is a subgroup),
- 2 if  $i \neq j$  it is a coset of a subgroup.
- 3  $S_{i,j}$  is an intersecting set of size  $(n - 1)!$ .
- 4 Use  $v_{i,j}$  for the characteristic vector of  $S_{i,j}$ .

# A Simple Bound

Consider the following partition of the permutations in  $\text{Sym}(4)$ :

(1)	(1,2,3,4)	(1,3)(2,4)	(1,4,3,2)
(1,2)	(1,3,4)	(1,4,2,3)	(2,4,3)
(1,3)	(1,4)(2,3)	(1,2)(3,4)	(2,4)
(1,4)	(2,3,4)	(1,3,2)	(1,2,4,3)
(2,3)	(1,2,4)	(1,3,4,2)	(1,4,3)
(3,4)	(1,2,3)	(1,3,2,4)	(1,4,2)

- 1 The first row is the sharply transitive group  $C_4 = \langle (1, 2, 3, 4) \rangle$ .
- 2 No two permutations intersect in the first row.
- 3 The other rows are cosets of this subgroup; no two permutations intersect in a row.

Any maximum intersecting set of permutations will size at most  $\frac{4!}{4} = 3!$ .

# Canonical Intersecting

**Theorem (Deza and Frankl, 1977)**

*The size of the largest set of intersecting permutations is  $(n - 1)!$*

Are there intersecting sets of permutation in  $\text{Sym}(n)$  with size  $(n - 1)!$  that are not canonical intersecting sets?

# The Erdős-Ko-Rado Theorem for Permutations

## Theorem

Let  $\mathcal{P}$  be an intersecting set of permutations from  $\text{Sym}(n)$ , then

- 1  $|\mathcal{P}| \leq (n - 1)!$ ,
- 2 and  $\mathcal{P}$  meets this bound if and only if it is a canonically intersecting set of permutations.

There are several proofs of this result.

- Cameron and Ku, 2003
- Larose and Malvenuto, 2004 (More general result)
- Wang and Zhang, 2007 (Clever use of clique-coclique bound)
- Godsil and Meagher, 2009 (Algebraic method, like Wilson's)

# What about different groups?

A group has the *EKR-property* if the **size** of the largest set of intersecting permutations is the size of the largest stabilizer of a point.

A group has the *strict-EKR* property if the **only maximum** intersecting permutations are the stabilizer of a point or a coset of one.

❄ These properties depend on the action of the group.❄

## Example

$\text{Sym}(n)$  with its natural action on  $\{1, \dots, n\}$  has the strict-EKR property.



# A non-EKR group

## Example

The group  $\text{Sym}(8)$  acting on the ordered 4-sets from  $\{1, \dots, 8\}$  does not have the EKR property.

The set of all permutations that fix at least 5 of  $[1..6]$  is intersecting and bigger.

- Subgroup that fixes the elements  $\{1, 2, 3, 4\}$  has size  $4! = 24$ .
- The set that fixes at least 5 of  $\{1, 2, 3, 4, 5, 6\}$

$$\underbrace{\binom{6}{6}}_2 + \underbrace{\binom{6}{5}}_2 \underbrace{\binom{2}{2}}_{\text{Non-fixed to 7 or 8. Place 7 and 8.}} = 26$$

Fix all 6 elements.      Pick 5 fixed elements.

# Derangement Graph

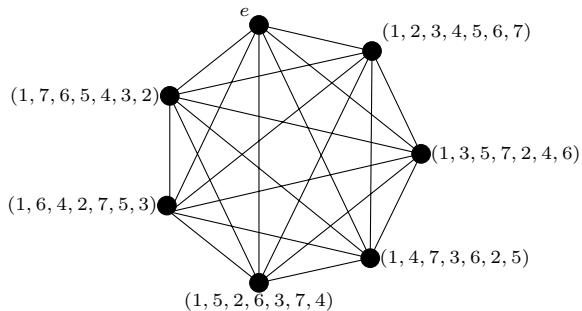
For any  $G \leq \text{Sym}(n)$  we can define a *Derangement Graph*.

- $\Gamma_G$  denotes the derangement graph for a group  $G$ .
- The vertices are the elements of  $G$ .
- Vertices  $\sigma, \pi \in G$  are adjacent if and only if  $\pi^{-1}\sigma$  is a derangement.  
(So adjacent if **not** intersecting.)

The derangement graph depends on the action!

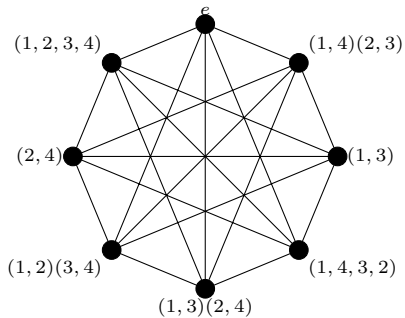
An intersecting set in  $G$  is a coclique in  $\Gamma_G$ .

# Derangement Graph of $\mathbb{Z}_7$



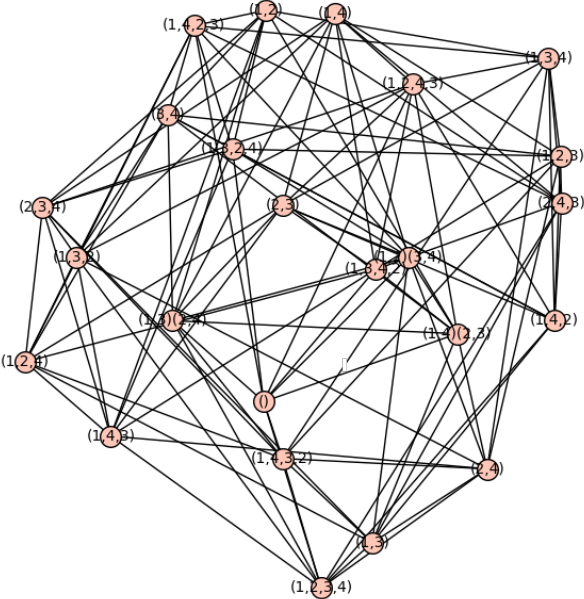
The graph  $\Gamma_{\mathbb{Z}_7}$ .

# Derangement Graph of Dihedral Group



The graph  $\Gamma_{D(4)}$ .

# Derangement Graph for $\text{Sym}(4)$



# Properties of the Derangement Graph

- $\Gamma_G$  is vertex transitive.
- An intersecting set in  $G$  is a coclique in  $\Gamma_G$ .
- If  $G$  has a sharply 1-transitive set, then  $\Gamma_G$  has a clique of size  $n$ .
- Clique-coclique bound for a vertex transitive graph  $X$

$$\alpha(X) \leq \frac{|V(X)|}{\omega(X)}.$$

If  $G \leq \text{Sym}(n)$  has a sharply 1-transitive subgroup, then  $|S_{i,j}| = \frac{|G|}{n}$  and the canonical intersecting sets are maximum.

# Cayley Graphs

Let  $G$  be a group and  $C$  a subset of  $G$ . Define the *Cayley Graph*  $Cay(G, C)$  to be the graph with

- the vertices elements of  $G$ ,
  - and  $g, h$  are adjacent if  $gh^{-1}$  is in the set  $C$ .
- 1 A Cayley graph  $Cay(G, C)$  is a *normal* Cayley graph if  $C$  is closed under conjugation.
  - 2 If  $der(G)$  is the set of derangements in  $G$ , then

$$\Gamma_G = Cay(G, der(G)).$$

so  $\Gamma_G$  is a **normal** Cayley graph with connection set  $Der(G)$  the set of derangements of  $G$ .

- 3  $\Gamma_G$  is connected if and only if the derangements generate the group.
- 4  $\Gamma_G$  is a union of graphs in that conjugacy class association scheme.

# Eigenvalues of Cayley Graphs

## Theorem

If  $\text{Cay}(G, C)$  is a normal Cayley graph, then the eigenvalues of  $\text{Cay}(G, C)$  are

$$\frac{1}{\chi(1)} \sum_{\sigma \in C} \chi(\sigma)$$

where  $\chi$  is an irreducible character of  $G$ .

## Example

Let  $\mathbf{1}$  be the trivial character for  $G$ , then

$$\lambda_{\mathbf{1}} = \frac{1}{\mathbf{1}(1)} \sum_{g \in \text{der}(G)} \mathbf{1}(g) = |\text{der}(G)| = d.$$

This is the degree of the derangement graph.



# Frobenius Groups

## Example

If  $G \leq \text{Sym}(n)$  is a Frobenius group, then the spectrum of  $\Gamma_G$  is

$$\{n - 1^{(k)}, -1^{k(n-1)}\}.$$

The derangement graph of any Frobenius group is the union of  $k$  complete graphs on  $n$  vertices.

- 1 Any Frobenius group has the EKR property.
- 2 If  $k > 2$  then it does not have the strict EKR property.  
There are  $n^k$  maximum cliques, and  $n^2$  canonical cliques.

## Example

Let  $G = \text{PGL}(2, q)$ , the characters can be calculated:

Character	$\lambda_1$	$\lambda_{-1}$	$\psi_1$	$\psi_{-1}$	$\eta_\beta$	$\nu_\gamma$
Eigenvalue	$\frac{q^2(q-1)}{2}$	$\frac{-q(q-1)}{2}$	$\frac{-q(q-1)}{2}$	$\frac{q-1}{2}$	$q$	$0$

- 1  $\text{PGL}(2, q)$  has the EKR property.  
Use the ratio bound.
- 2  $\text{PGL}(2, q)$  has the strict-EKR property.  
First, no coclique is in the  $\psi_1$ -eigenspace.  
Second, any coclique in the  $\lambda_1$ -eigenspace is a canonical coclique.

## 2-Transitive Subgroups

- 1 The permutation character is  $\text{fix}(g)$ .
- 2 Define  $\chi(g) = \text{fix}(g) - 1$  (So  $\chi = \text{permutation} - \text{trivial}$ ).
- 3  $G$  is 2-transitive if and only if  $\chi$  is irreducible.

$$\begin{aligned}\langle \chi, \chi \rangle &= \frac{1}{|G|} \sum_{g \in G} (|\text{fix}(g)| - 1)^2 \\ &= \frac{1}{|G|} \sum_{g \in G} |\text{fix}(g)|^2 - 2 \frac{1}{|G|} \sum_{g \in G} |\text{fix}(g)| + \frac{1}{|G|} \sum_{g \in G} 1 = 1\end{aligned}$$

- 4 The eigenvalue for  $\chi$  is

$$\lambda_\chi = \frac{1}{\chi(1)} \sum_{g \in \text{Der}(G)} \chi(g) = \frac{-|\text{Der}(G)|}{n-1}$$

- 5 Define  $E_\chi[g, h] = \chi(h^{-1}g)$ ; the *permutation module* is the span of the columns of  $E_\chi$  and  $E_1$  (the all ones vector).

# Apply the Ratio Bound

## Theorem

Let  $G$  be a 2-transitive group acting on an  $n$ -set. If  $\frac{-|Der(G)|}{n-1}$  is the least eigenvalue for  $\Gamma_G$ , then the largest intersecting set has size  $\frac{|G|}{n}$ .

*Proof.* By the ratio bound

$$\alpha(\Gamma_G) \leq \frac{|G|}{1 - \frac{|Der(G)|}{-\frac{|Der(G)|}{n-1}}} = \frac{|G|}{n}.$$

Since  $G$  is transitive, then the stabilizer of a point has size  $|G|/n$ .

## Theorem

Further, if only  $\chi$  has eigenvalue  $\frac{-|Der(G)|}{n-1}$ , then the characteristic vector of any maximum coclique  $S$  is in the permutation module.

# Overview of Method

Assume that  $G$  is a group with a 2-transitive action on a set of size  $n$ .

- 1 Prove the bound  $\alpha(\Gamma_G) \leq \frac{|G|}{n}$  holds.
  - ▶ If  $G$  has a sharply transitive group, use clique-coclique bound.
  - ▶ Use ratio bound with  $A(\Gamma_G)$ ,
  - ▶ Use ratio bound with a weighted adjacency matrix.
- 2 Show the characteristic vector for any maximum coclique is a linear combination of the vectors  $v_{i,j}$ .
  - ▶ The vectors  $v_{i,j}$  span the  $\psi$ -module.
  - ▶ Show the characteristic vector for any maximum coclique is in this  $\psi$ -module.
- 3 Find all 01-vectors that are linear combinations  $v_{i,j}$  with exactly  $\frac{|G|}{n}$  ones.
  - ▶ Reduces to showing matrix has full rank.
  - ▶ or finding the kernel of this matrix.

## 3-types of EKR properties

A group  $G$  has the *EKR property* if the size of the maximum intersecting permutations is the size of the largest stabilizer of a point.

A group  $G$  has the *EKR-module property* if the characteristic vector of any maximum intersecting set is in the  $\psi$ -module.

A group  $G$  is *strict-EKR* if the only maximum intersecting permutations are the stabilizer of a point, or a coset of one.

# EKR Property for 2-transitive group

## Theorem (M, Spiga, Tiep)

*All two transitive groups have the EKR property.*

First we used the two reductions:

- 1 if a group has a sharply 1-transitive subgroup then it has the EKR property.
- 2 if  $G$  has a transitive subgroup  $H$  with the EKR property, then  $G$  has the EKR property.

A 2-transitive group  $G$  has a unique minimal normal subgroup ; either this subgroup is regular, or  $G$  is of almost simple type.

# Table of 2-transitive groups of almost simple type

Line	Group $S$	Degree	Condition on $G$	Remarks
1	$\text{Alt}(n)$	$n$	$\text{Alt}(n) \leq G \leq \text{Sym}(n)$	$n \geq 5$
2	$\text{PSL}_n(q)$	$\frac{q^n - 1}{q - 1}$	$\text{PSL}_n(q) \leq G \leq \text{P}\Gamma\text{L}_n(q)$	$n \geq 2, (n, q) \neq (2, 2), (2, 3)$
3	$\text{Sp}_{2n}(2)$	$2^{n-1}(2^n - 1)$	$G = S$	$n \geq 3$
4	$\text{Sp}_{2n}(2)$	$2^{n-1}(2^n + 1)$	$G = S$	$n \geq 3$
5	$\text{PSU}_3(q)$	$q^3 + 1$	$\text{PSU}_3(q) \leq G \leq \text{P}\Gamma\text{U}_3(q)$	$q \neq 2$
6	$\text{Sz}(q)$	$q^2 + 1$	$\text{Sz}(q) \leq G \leq \text{Aut}(\text{Sz}(q))$	$q = 2^{2m+1}, m > 0$
7	$\text{Ree}(q)$	$q^3 + 1$	$\text{Ree}(q) \leq G \leq \text{Aut}(\text{Ree}(q))$	$q = 3^{2m+1}, m > 0$
8	$M_n$	$n$	$M_n \leq G \leq \text{Aut}(M_n)$	$n \in \{11, 12, 22, 23, 24\}$ , $M_n$ Mathieu group, $G = S$ or $n = 22$
9	$M_{11}$	12	$G = S$	
10	$\text{PSL}_2(11)$	11	$G = S$	
11	$\text{Alt}(7)$	15	$G = S$	
12	$\text{PSL}_2(8)$	28	$G = \text{P}\Sigma\text{L}_2(8)$	
13	$HS$	176	$G = S$	$HS$ Higman-Sims group
14	$C_{o_3}$	276	$G = S$	$C_{o_3}$ third Conway group



# Strict-EKR for 2-transitive groups

- 1  $\text{Sym}(n)$  has strict EKR-property. (Cameron and Ku, Godsil and M.)
- 2 For  $\text{PGL}(n, q)$ 
  - ▶ for  $n = 2$  has the strict-EKR property (M. and Spiga);
  - ▶ for  $n \geq 3$  the maximum intersecting sets are either stabilizers of a point or a hyperplane (M. and Spiga, Spiga).
- 3  $\text{PSL}(2, q)$  has the strict-EKR property (Long, Plaza, Sin, Xiang).
- 4  $\text{Alt}(n)$  and the Mathieu groups have the strict EKR (Ahmadi, M.).
- 5  $M_{11}$  on 12 points has strict EKR
- 6  $\text{PSL}_2(11)$  on 11 and  $\text{Alt}(7)$  on 15 do not have strict EKR.

## Fact

*Not all two transitive groups have the strict-EKR property.*

# Every 2-transitive group has the EKR-module Property

A group has the **EKR-module property** if the characteristic vector of any maximum intersecting set in in the permutation module.

## Theorem (M., Sin)

*All 2-transitive groups have the EKR module property.*

## Corollary

*For any 2-transitive group, the characteristic vector of any maximum intersecting set is a linear combination of the  $v_{i,j}$ .*

# Intersecting Subgroups

Let  $G$  be a 2-transitive group and  $S$  a maximum intersecting set.

- 1  $S$  has the same inner distribution as the stabilizer of a point.  
(The inner distribution is the number of pairs of elements  $g, h \in S$  with  $gh^{-1}$  in a conjugacy class.)
- 2 If  $S$  is a group, then

$$\text{ind}(\mathbf{1}_S)^G = \text{ind}(\mathbf{1}_{G_x})^G$$

When does a group have non-conjugate subgroups that give the same induced representation?

For a 2-transitive group, are the maximum intersecting sets always subgroups or cosets of subgroups?

# Intersection density

For a permutation group  $G$  (maybe make it transitive?) define the ratio of the size of a largest intersecting set to the size of a canonical intersecting set.

$$I(G) = \alpha(\Gamma_G)/|G_x|.$$

- 1 This ratio is 1 if and only if  $G$  has the EKR property.
- 2 If  $G$  is 2-transitive, then  $I(G) = 1$ .
- 3 If  $G$  acts on a set with prime order then  $I(G) = 1$ .  
( $G$  has an element with order  $p$ , so a clique of size  $p$ .)

How big can this ratio be?

Recently Li, Song and Pantangi conjectured if  $G \leq \text{Sym}(n)$  is transitive then

$$\alpha(\Gamma_G)/|G_x| \leq \sqrt{n}.$$

They gave an example of a family of groups where this holds asymptotically.

# Other Interesting Examples

## Example (Razafimahatratra)

There is a group  $G \leq \text{Sym}(18)$  with  $|G| = 324$  and  $\Gamma_G = K_{108,108,108}$ .

- 1 Maximum cocliques are 6 times larger than the stabilizer of a point.
- 2 This group has  $\alpha(\Gamma_G)/|G_x| = 6$  (largest that we have found!).

There other are groups with

$$\Gamma_G = K_{\ell,\ell,\dots,\ell}$$

- 1 the cocliques are much bigger than the stabilizer of a point.
- 2  $G$  is imprimitive
- 3 These groups have the EKR-module property!

But, we only found 4 groups that have their derangement graph a complete tripartite graph. (Only 3 are counter examples to the conjecture.)

## Other Results

### Theorem (M., Razafimahatratra and Spiga)

*A derangement graph for a transitive group  $G \leq \text{Sym}(n)$  with  $n > 2$  is not bipartite.*

#### Proof.

- 1 If  $\Gamma_G$  is bipartite, then its parts are  $H$  and  $xH$  where  $H$  is a normal subgroup  $G$ .
- 2  $H$  has a normal covering number of 2, these have been mostly characterized.

### Theorem (M., Razafimahatratra and Spiga)

*A derangement graph for a transitive group must have a clique of size 3.*

## Questions I am thinking about

Is it true that for any 2-transitive group that any maximum intersecting set of permutations is either a group or a coset of group?

For 2-transitive groups, what are the boolean vectors in the  $\chi$ -module? These can be considered to be the “Cameron-Leibler” sets for permutations.

Which 1-transitive groups have “interesting” intersecting set of permutations?

In a transitive group what is the largest set of permutations that is closed under taking conjugation?